

1 deal with the interpreting physician's viewboxes, but  
2 we certainly recommend that they be similar to.

3 DR. BARR: Well, this recommendation is  
4 for review by the technologist also.

5 DR. FINDER: Right. I'm just bringing up  
6 what currently exists.

7 MS. RINELLA: But like what you said. How  
8 are you going to keep the technologist from turning on  
9 the overhead lights when they're actually reading  
10 films or picking up a magnifying glass and masking  
11 their films?

12 You know, you really can't stand there and  
13 be the mammo police, but I think the more aware I  
14 think that they are going to be made of this if we do  
15 mandate something, I think that could only help.

16 DR. BARR: And there is an argument to be  
17 made that when you put something in regulation, even  
18 though you can't enforce it, you know, it obviously  
19 carries more weight, but I want people to realize our  
20 limitations on some of these things that are  
21 recommended for regulation.

22 CHAIRPERSON HENDRICKS: I would welcome

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1 input from the accrediting bodies as to whether these  
2 -- you know, where poor viewing conditions is a reason  
3 for failure to accredit a facility and how often that  
4 occurs. Are there instances where facilities have  
5 failed on these viewing conditions that we're  
6 discussing? And how often is that a significant  
7 issue.

8 Please, Penny.

9 MS. BUTLER: Penny Butler from the  
10 American College of Radiology.

11 Currently we don't fail anybody for this  
12 because it's not a regulatory requirement. Our  
13 standards for accreditation have to be essentially the  
14 same as the MQSA requirements. So even though we have  
15 it as a recommendation, it's a recommendation.

16 CHAIRPERSON HENDRICKS: Thank you for your  
17 comment.

18 DR. BARR: Thank you.

19 DR. MARTIN: Dr. Barr.

20 DR. BARR: Yes.

21 DR. MARTIN: Melissa Martin.

22 I'm sort of like Diane. We consult all

1 over the place. I would say at this point about 20  
2 percent of our facilities would have to replace their  
3 light boxes. A good probably 75 to 80 percent of them  
4 are already in compliance, and I guess I'm surprised  
5 at your question of how would it be inspected because  
6 my understanding is every year the local MQSA  
7 inspector is asking the facilities to demonstrate how  
8 they mask to interpret their phantom films at this  
9 point.

10 Maybe that's just a local we have very  
11 aggressive inspectors, but my understanding is they  
12 ask every one of our facilities to show how they're  
13 viewing the mammography films and how do they mask  
14 off, and they want to see the brightness. They're not  
15 making measurements, but they are definitely looking  
16 at the viewing conditions every time they come into a  
17 facility.

18 DR. BARR: Well, do you want to comment on  
19 that?

20 DR. MARTIN: -- not an FDA?

21 DR. MOURAD: No, those are aggressive as  
22 you say inspections.

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1 (Laughter.)

2 DR. BARR: This is Dr. Mourad from FDA.

3 DR. MOURAD: We tell them not to  
4 specifically look for those because we don't have  
5 inspection questions for them, but we also tell them  
6 if you see something totally abnormal and missing at  
7 the facility, you should bring it to their attention.  
8 Now, some of them are more zealous than others.

9 DR. BARR: Thank you.

10 Okay. So we currently don't have -- we  
11 have the physicist report. We don't have any current  
12 inspection procedures to deal with viewbox luminance.  
13 Do we think that this luminance -- do we think the  
14 numbers in this recommendation make sense, physicists?

15 DR. MARTIN: The numbers are fine with me.

16 DR. BARR: Thank you.

17 DR. FERGUSON: It's aggressive. Being a  
18 radiologist, I don't know numbers. So I don't know  
19 what these numbers mean literally.

20 (Laughter.)

21 DR. BARR: Well, that's why I had to ask  
22 the physicists. I'm in your boat.

1 DR. FERGUSON: I mean, I'd like to see in  
2 the room what kind of luminance we're talking about as  
3 far as background light to know. I wouldn't know  
4 looking at how many candela per square meter.

5 DR. BARR: Yes. Ms. Martin.

6 DR. MARTIN: If you're reading in a  
7 normal, good radiologist facility, you are nowhere  
8 close to violating these numbers. You probably are  
9 sitting in somewhere with less than six for your local  
10 -- your room luminance, illuminance.

11 DR. BARR: What I was glad to see is that  
12 at least there's some idea of paying attention to  
13 this, and particularly for the technologists, not just  
14 the physicians. I thought that was at least an  
15 advance.

16 I think we have another audience.

17 MS. SPRINKLE-VINCENT: Hello. I'm Susan  
18 Sprinkle-Vincent. I'm a mammography technologist and  
19 consultant from Houston, Texas.

20 I travel also like Diane all over the  
21 country training technologists, do the 40-hour initial  
22 training in Houston, do lots of hands-on positioning,

1 accreditation assistance, and myself, like Diane, find  
2 most facilities that I go to the technologists do not  
3 have appropriate viewing conditions.

4 I struggle with that a lot, especially  
5 working with them to improve their positioning skills  
6 and their technical factors, and find it a lot of  
7 times pretty impossible to do in the conditions that  
8 they are given to review their films in.

9 And then a lot of times unable to get into  
10 the radiologist area to use their viewing conditions  
11 because they're busy and they're tied up.

12 A lot of the technologists would love to  
13 see this in force so that they would be allowed or  
14 their facilities basically be forced to buy them the  
15 viewboxes that they need.

16 Thank you.

17 DR. BARR: Thank you.

18 CHAIRPERSON HENDRICKS: We'll take one  
19 more question from the audience and then move to the  
20 next area of regulations -- thank you -- just in the  
21 interest of time.

22 MR. FLATER: I'm Don Flater with the State

1 of Iowa, and we are an accrediting body, and we're  
2 also a certifying group.

3 And we do have very aggressive inspectors  
4 and we require that on every one of our facilities.  
5 So it has been done at least in the State of Iowa.

6 DR. BARR: Thank you.

7 CHAIRPERSON HENDRICKS: Thank you very  
8 much.

9 DR. BARR: I'm not sure how exactly to  
10 summarize this, but I think what I'm hearing is that  
11 viewing conditions, not just the luminance of the  
12 viewbox are important and should possibly be  
13 considered for some regulation in MQSA. I think  
14 that's how I'll summarize that for now.

15 E is eliminate the modality specific CME  
16 requirement. The recommendation, if we go to the  
17 bottom, is for eliminating the wording "this training  
18 shall include at least six Category 1 continuing  
19 medical education credits in each mammographic  
20 modality used by the interpreting physician in his or  
21 her practice.

22 To perhaps shorten discussion time we are,

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1 you know, totally on board with this. We have not  
2 been enforcing the modality specific CME requirement  
3 and are totally fine with removing it from the  
4 regulation. I've heard lots of positive comments on  
5 this. So what I'd probably like to limit it to is if  
6 anyone sees a major objection to removing this  
7 requirement.

8 (No response.)

9 DR. BARR: Thank you.

10 I don't think we need to go through the  
11 rationale since I think everybody thinks this is a  
12 good idea.

13 This is 900.4, requiring review physicians  
14 for accreditation bodies to specialize in mammography.

15 What IOM would like to have is wording that says at  
16 least 50 percent of each year's practice in breast  
17 imaging and that the physician be currently actively  
18 participating in the modality reviewed at an MQSA  
19 certified facility.

20 I think what IOM is trying to get to here,  
21 if I understand it correctly is that physicians who  
22 are reviewing films for accreditation should have at



1 least if not more experience in the modalities that  
2 they're reviewing, then people at the facilities that  
3 they're reviewing for, and I would like to hear if  
4 possible just a very brief statement from, say, ACR  
5 and, Don Flater, since you're here as an AB what you  
6 do require of your physicians looking at modalities,  
7 in particular, digital modality.

8 Please reintroduce yourself for the  
9 transcript. Introduce yourself for the purpose of the  
10 transcript of this meeting, please.

11 MS. BUTLER: Penny Butler, American  
12 College of Radiology.

13 CHAIRPERSON HENDRICKS: Thank you.

14 MS. BUTLER: The ACR requires a  
15 reviewer's practice to be in best imaging, I think we  
16 say. So --

17 PARTICIPANT: Modality.

18 MS. BUTLER: Thank you.

19 In the modality, yes.

20 DR. BARR: Okay. So if someone were  
21 reviewing for digital accreditation, their practice  
22 would be.

1 MS. BUTLER: I take that convoluted  
2 language back then. It would be in breast imaging,  
3 but they do have to meet -- if they were reviewing for  
4 digital, they would have to meet the MQSA requirements  
5 for digital.

6 DR. BARR: Thank you.

7 MR. FLATER: That's exactly the same for  
8 ours. In fact, we even like to use people that work  
9 for Penny to be part of our system.

10 DR. BARR: Thank you, and that was my  
11 understanding of what the accreditation bodies did do.

12 Does anyone see a problem or have any  
13 objections if something were to be added that  
14 reviewers had to meet this requirement?

15 DR. FERGUSON: No, I'd just say that it  
16 says "specialize in mammography" and then in quotes  
17 down there it says 50 percent. I'm one of those that  
18 I do general radiology, but over half of my practice  
19 is mammography, and when people ask me do you  
20 specialize in mammography, I say no, but I do over  
21 half of it. So just so that was clear, you know.

22 DR. BARR: Thank you.

1           This is Section 900.4, the results of  
2 equipment evaluations. With its initial accreditation  
3 application, and IOM would like us to add "the results  
4 of," a mammography equipment evaluation that was  
5 performed by a medical physicist no earlier than six  
6 months, et cetera, et cetera, I don't see a major  
7 problem with adding "the results of." Does anyone?

8           Charlie, do you have a comment on this?

9           DR. FINDER: No. I just wanted to mention  
10 that the next couple of slides really deal with a very  
11 specific process, recommendations for changes to the  
12 regulations dealing with accreditation bodies, and I  
13 wouldn't want to spend too much of the committee's  
14 time on going through in detail some of this material.

15          If we can kind of go through it quickly, I think that  
16 would be the best thing because many of these changes  
17 we've already accomplished through changes in our  
18 procedures, and I do think we have some other issues  
19 that are more important in terms of facility issues.

20          So if we can just try and go through them  
21 quickly, maybe all at once.

22          DR. BARR: Yeah, I agree, and some of this

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1 is minor wording. So I think we'll just flip through  
2 them, and if you see something that you think is a big  
3 issue or that you really object to, then chime in.

4 Again, we have wording in 900.4 to add the  
5 words "annual survey" and change the six months to 14  
6 months.

7 Charlie, any issues here that people  
8 might --

9 DR. FINDER: I think that this works out  
10 fine for reaccreditation where under our current  
11 situation we allow facilities to have up to 14 months  
12 for the annual survey to be done between inspections.

13 It certainly makes sense to allow that similar type  
14 time frame for the reaccreditation process so that the  
15 facility doesn't have to do two surveys within the  
16 same year.

17 DR. BARR: Okay.

18 DR. FINDER: So we didn't have any real  
19 issue with that. The wording on some of this would  
20 have to be crafted so that we don't create a problem  
21 with a new facility. We won't allow them to do things  
22 14 months before they actually start in practice.

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1 DR. BARR: Right.

2 DR. FINDER: Six would work there, but it  
3 can be worked out.

4 DR. BARR: I think the intent here is  
5 fairly reasonable.

6 And this is to delete a section of how the  
7 facilities submit their information. Any issues here,  
8 Charlie, that need to be brought to the committee's  
9 attention?

10 The bottom line here, I think, is the  
11 second bullet. Submission to the accreditation body  
12 each year is redundant.

13 Again, some minor wording changes that I  
14 think clarify intent. Charlie, any issues here?

15 DR. FINDER: No.

16 DR. BARR: And this is consistent with  
17 other suggested changes that the IOM has made.

18 Again, I think a minor wording change just  
19 for clarification purposes, which doesn't change the  
20 meaning. This is to make sure that facilities know  
21 that all units need to be accredited.

22 And, again, wording to clarify what

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1 facilities must do when they have a new unit. I think  
2 that's pretty straightforward.

3 This is a section in the reinstatement  
4 policy to delete some wording. Any comment on that,  
5 Charlie?

6 DR. FINDER: No. Just to clarify that the  
7 way it's written it kind of gives the impression that  
8 if you reinstate you become a new facility. That is  
9 not the case, and by getting rid of those words it  
10 would make it clearer.

11 DR. BARR: In this case they're saying the  
12 facility retains its original ID numbers. So we don't  
13 want to reinstated facility to be considered a new  
14 facility.

15 This one is change to "continuing  
16 experience," and this one might require a little bit  
17 of discussion. I'm going to let Charlie sort of lead  
18 you with how it ended up this way and what we might do  
19 here because I think this one probably would engender  
20 a little bit.

21 DR. FINDER: Yeah. The continuing  
22 experience and continuing education requirements for

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1 all three personnel categories are written in a  
2 similar manner, and they talk about measuring back  
3 from the date of the inspection, 24 months or 36  
4 months depending on whether it's experience or  
5 education that you're measuring.

6 And the history behind it is that under  
7 the interim regulations, the requirement was that you  
8 have to have certain requirements met. It didn't give  
9 any specifics of how we were going to inspect against  
10 it or measure against it.

11 And what we were finding was while that I  
12 think everybody at the time those regulations were  
13 written had the idea that everybody should always meet  
14 all of these requirements, the problem that we  
15 encountered was that some of our more zealous  
16 inspectors were trying to inspect and insure that on  
17 every single calendar day somebody met this  
18 requirement because you can go back for the last two  
19 years and check every single day and see if they met  
20 it.

21 In order to avoid that, we by policy  
22 informed the inspectors that they were to measure it

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1 in a certain manner, either measuring back from the  
2 date of the inspection or from the end of the previous  
3 calendar quarter or any day in between. The choice  
4 would be left up to the facility.

5 Well, that was in guidance, and when we  
6 rewrote the regulations, it was worked into the  
7 regulations themselves, and that's what we have here.

8 Now, we have gotten many times from many  
9 different sources the request that instead of  
10 measuring it back from the date of the inspection we  
11 go from a calendar date, the first of the year, making  
12 it more simple for the facilities to keep this  
13 requirement in terms of bookkeeping.

14 It has been considered multiple times. It  
15 was considered before we even put these in the  
16 regulations. That concept had to be weighed against  
17 the idea of do we want to make sure that everybody  
18 always meets all of the requirements all the time.

19 And we felt that when the final regs. were  
20 written, this was a reasonable compromise. In effect,  
21 the way these regs. were written and the guidance  
22 that's associated with it, if a facility keeps up on a

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1 quarterly basis and makes sure all of their people  
2 meet their requirements as of at least the quarter,  
3 they will never have any problems.

4 This, however, would make it simpler on  
5 the facilities if we went to just a calendar date, and  
6 that's one of the things that is being considered.

7 Another part of this requirement that I  
8 think is very important that you need to consider is  
9 that they also say continuing experience obtained  
10 outside of the U.S. is also acceptable, and we wanted  
11 to know what people thought about that.

12 So there are two aspects to this, and it's  
13 very similar in one sense for continuing experience  
14 and continuing education. Do we want to change how we  
15 inspect against these requirements? Do we want to  
16 change it to a calendar date or not? And what do  
17 people think?

18 And once you start talking about that, I  
19 can give some more background as to what we have found  
20 in the past.

21 DR. BARR: Okay. So we'll start with the  
22 previous two calendar years' additional wording

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1 instead of all the current wording that's in here.

2 Any comments on that?

3 DR. FINDER: Anybody think it should  
4 change to what they're recommending, leave it the way  
5 it is?

6 DR. FERGUSON: I don't like the way it is.  
7 My technology --

8 DR. FINDER: You're in the majority then,  
9 but the question is what --

10 DR. FERGUSON: My technologist comes to me  
11 every time and says, "We have our inspection coming  
12 up. Now we have to go calculate," and we'll spend an  
13 hour calculating whether my hours are done according  
14 to the way they ought to be done.

15 And it would be simple and I don't know  
16 when you say a calendar date if you're saying January  
17 1st to December 31st.

18 DR. FINDER: Right.

19 DR. FERGUSON: I think it would be simpler  
20 on the people checking as well to be able to look and  
21 say, "Well, in this year you had five, five, and five"  
22 or however you did it.

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1 MS. MOUNT: Carol Mount.

2 I agree 100 percent. It's a nightmare  
3 trying to sort back from the date they came for  
4 inspection to try to figure out if everybody has the  
5 right numbers.

6 Our numbers in our institution happen to  
7 be big enough that we have kind of been doing calendar  
8 year anyway, and it works just fine for us.

9 DR. BARR: Charlie, again, could you just  
10 tell us quickly what the reason was for doing it this  
11 way in the first place, what the simplicity would not  
12 allow for?

13 DR. FINDER: Right. Some of the  
14 advantages and the reason we decided to go with the  
15 inspection date is that's when the inspector is there.

16 The inspector can actually see what's going on, can  
17 look at the numbers, and if necessary can cite the  
18 facility.

19 If we go on a calendar basis, we would  
20 have the following type situation. We would hope that  
21 the facility would do what they're supposed to do on  
22 the first of January. If they didn't, however, the

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1 inspector wouldn't be there. There would be no  
2 citation at that point. The inspector could come in  
3 11 months later and find that on January 1st the  
4 person didn't meet the requirement.

5 Of course, at that point it would be  
6 questionable whether they should cite or not because  
7 by the time the person came in, the inspector came  
8 into the facility, that person probably would be up to  
9 the requirement or could be, in which case, we didn't  
10 want to cite somebody for something that happened 11  
11 months before when they're now qualified.

12 So it really came down to an issue of  
13 could we -- what would be the most efficient way in  
14 order to deal with the inspection when the inspector  
15 was there, could address the records, and could make  
16 the finding at that point rather than leave it up to  
17 the facility at some point earlier in the year?

18 DR. BARR: What if we just used the 24  
19 months from the date of the annual inspection and not  
20 the second part of that getting to choose or choosing  
21 a quarter?

22 DR. FINDER: Well, we put that in there to

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1 give the facility more flexibility because in many  
2 cases while the facility knows approximately when the  
3 inspector was going to be there, by allowing them to  
4 go back to the end of the previous calendar quarter,  
5 they wouldn't have to rush around as soon as they got  
6 the phone call from the inspector and say the  
7 inspector is going to be here in five days, to start  
8 looking at those records then.

9 They could get their records set as of the  
10 previous calendar quarter when they expect the  
11 inspection to actually occur. So it was an attempt to  
12 make the bookkeeping easier on the facility.

13 Basically if you're dealing with a single  
14 facility what this says is you just have to figure out  
15 your numbers once a year, the end of the calendar  
16 quarter, before you expect, you know, the inspector is  
17 supposed to come in.

18 The real problem comes up with people that  
19 work at multiple facilities where they will be  
20 inspected at different times, and that can make it  
21 more difficult for them. Each individual facility  
22 could do this on a quarterly basis, but the individual

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1 would have to keep their records up.

2 That was not felt by many on the  
3 committees in the past to be such a bad thing because  
4 their understanding or their idea was that everybody  
5 should be qualified every single day anyhow. So it  
6 shouldn't be that big a deal for somebody to document  
7 that.

8 But obviously there are other issues, and  
9 it's not as simple as if you just pick one date. So  
10 you have to weigh those two things.

11 DR. BARR: Yeah, I think it's pretty clear  
12 that the initial wording wasn't just designed to be  
13 confusing, that there were reasons.

14 Any ideas of how to solve this problem?

15 DR. MARTIN: Melissa Martin.

16 I don't think it's a solution, but I would  
17 reiterate what Carol said. The facilities go through  
18 great contortions to meet "oh, the inspector is coming  
19 today." The inspectors come within a 14 month period,  
20 and at this point maybe because we are a state where  
21 apparently there's been a disagreement or not a  
22 signoff, and MQSA inspections have been postponed

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1 literally at four o'clock on the day before the  
2 inspector is supposed to be there the next morning.

3 The facilities have gone to great lengths  
4 to get all of their data together, and they're now  
5 told, "We're coming in six weeks," which puts them  
6 into a different quarter and they were told to redo  
7 all of their data.

8 Talk about an absolute waste of time.  
9 This is what's going on whether we want to acknowledge  
10 it or not. It is an absolute waste of time to gather  
11 all of this data twice. It would be much more simple  
12 just to put it into the calendar years.

13 DR. MONTICCILOLO: I agree. Those are  
14 excellent comments because we've been through exactly  
15 that, having to recalculate and recalculate, and we  
16 provide our physicians with their audit data on a year  
17 to year basis, and so this would match the audit data.

18 And I would also point out that this type  
19 of change, just allowing the use of the calendar year  
20 doesn't introduce much danger to the patients or the  
21 quality. You know, I don't expect my physicians if  
22 they do their CMEs six months later are going to

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1 forget everything they knew up to that point.

2 So I think that if they want to a course  
3 three months later or whatever and just stayed in the  
4 calendar, they're going to remember it better instead  
5 of having us have to badger them because our date is  
6 coming up, a date that doesn't relate to anything in  
7 their minds and we say, "Hey, you know, you have to  
8 get your CMEs."

9 If they had it yearly stuck on the  
10 calendar, it would be easier for them to remember and  
11 to accommodate us. So it would be actually a benefit  
12 to go to the calendar system.

13 DR. BARR: Okay, and I think I'll  
14 summarize it that way.

15 I have to agree when I practiced under  
16 MQSA I found this confusing, and I still do, but there  
17 were reasons in mind when this was set. So summarize  
18 here that the current wording is confusing and that we  
19 should work on perhaps a calendar year process to  
20 simplify.

21 CHAIRPERSON HENDRICKS: There is a comment  
22 from the audience.

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1 MR. MOURAD: Wally Mourad, FDA.

2 Sorry I did not bring this up earlier. I  
3 just thought of it. When we try to calculate the  
4 continuing experience and continuing education, it's  
5 always referred to the individual starting date. The  
6 starting date is the date when the individual has met  
7 his or her initial qualifications.

8 So for people that have met the initial  
9 qualifications several years ago, it's not an issue.  
10 But for people coming into the fold today, if a person  
11 qualified today, they become eligible for meeting the  
12 continuing requirements 24 months from today and 36  
13 months from today, respectively.

14 If you do it on a calendar basis, that  
15 equation has to be changed somehow. Just be aware of  
16 that.

17 DR. BARR: Thank you.

18 Okay. So I think we get the spirit of  
19 this, and with our collective brains I think we can  
20 work on this issue.

21 I think the last line of this continuing  
22 experience obtained outside of the U.S. is also

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1 acceptable. I think we should go through some of the  
2 comments on this. I don't know where the rationale is  
3 on that.

4 Well, be that as it may, what do people  
5 think of --

6 DR. FINDER: There it is.

7 DR. BARR: Oh, physicians who initially  
8 qualified in the U.S. under MQSA should not be  
9 prevented from using foreign experience. I think some  
10 of the things that we've heard as a concern is how do  
11 we know what's going on in these facilities.

12 Charlie, what have you heard on this  
13 issue?

14 DR. FINDER: Well, yes, we have heard  
15 concerns about using foreign experience. Under the  
16 current guidelines and regulations, foreign experience  
17 is not allowed. Our feeling was that we have no idea  
18 of what kind of quality is being put forth in those  
19 other countries. We have no idea what type of  
20 facilities they're at, what type of equipment they're  
21 using, whether there's any quality assurance at all  
22 being done, whether there's any audit procedures being

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1 done.

2 And we also believe that the two-year  
3 interval that we allow continuing experience to be  
4 recorded took into account the fact that people may be  
5 going out on sabbatical, may be going out for medical  
6 reasons, may not be doing a lot for some period of  
7 time, as much as a year, and they could still meet  
8 our requirements.

9 We felt that there was enough leeway put  
10 in here that the issue about allowing foreign  
11 experience wasn't necessary, but that's why it's being  
12 brought up before the committee, to hear what you  
13 people think.

14 DR. MONTICCILOLO: Okay. Well, Dr.  
15 Monticciolo.

16 You know, there certainly are a lot of  
17 good mammographers in other countries, but I do think  
18 it is hard to decide how you're going to gauge  
19 quality, and I've done mammography projects in  
20 different countries, Panama, China, India, South  
21 Korea, and those are all completely different and so I  
22 would have a hard time just making a blanket statement

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1 to allow it to be used.

2 Our initial requirements to get somebody  
3 to be an interpreting physician I don't think are so  
4 onerous that it would prevent people from other  
5 countries from practicing. So I don't know. It would  
6 be very difficult to gauge those levels of experience.

7 DR. BARR: Yeah, I'm not sure what  
8 prompted this recommendation. I don't know if there's  
9 a pressing need to have foreign experience included.  
10 On balance, I think I've heard more concerns than  
11 positives, but I don't know what prompted this.

12 Thank you.

13 Are we on closed facilities already? I'm  
14 sorry. Charlie, am I at the end of my thing yet?

15 This is a change to continuing experience  
16 for medical physicists, and what IOM is recommending  
17 is to take out the wording in the requirement of at  
18 least two mammography facilities and a total of, and I  
19 think we can sort of shorten this discussion.

20 What they're saying is it's difficult to  
21 medical physicists to provide services to more than  
22 one facility in a 24 month period. Outside consulting

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1 is sometimes prohibited. Units' facility ratios  
2 increased, and physicists have adequate experience  
3 surveying one facility.

4 I'm not sure what prompted this because  
5 our current regulation is that this requirement can be  
6 satisfied by the regs. the way our regs. are currently  
7 written. So I'm not sure exactly where the issue is  
8 here.

9 If anybody sees where the issue is, I'd be  
10 glad to entertain it. Otherwise, any physicist want  
11 to comment on this?

12 DR. MARTIN: I don't think there is an  
13 issue.

14 DR. BARR: I thought it was pretty clear,  
15 but obviously it wasn't clear enough.

16 CHAIRPERSON HENDRICKS: Comment from the  
17 audience on that?

18 MS. BUTLER: Penny Butler from the  
19 American College of Radiology.

20 One thing that prompted this is that there  
21 were physicists at large institutions with a large  
22 number of units, and because the way the regs. are

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1 written, that they provide services at two facilities.

2 Sometimes by their contract they're not allowed to  
3 practice outside their own facility. So they would  
4 have to really be forced to providing services  
5 someplace else in order to meet the regulations.

6 DR. BARR: But you can't do it by being at  
7 a single facility. You can meet the requirements.

8 DR. FINDER: Right. This is Dr. Finder.

9 The regulations allow the medical  
10 physicist to do a survey. It's a requirement, two  
11 facilities and six units over two years. So by doing  
12 the same facility twice in the two-year period, which  
13 you are allowed to do, one each year for the surveys  
14 that are necessary anyhow, you would meet that  
15 requirement.

16 The same for the number of units. We do  
17 allow -- for example, a medical physicist who is in a  
18 facility that has only one unit can by doing two  
19 surveys and resurveying the unit as much as every 60  
20 days can actually meet this requirement at one  
21 facility.

22 MS. BUTLER: Okay. If that is, indeed,

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1 the intent, although I don't recall that from the  
2 original regulations, and I'm pleased to hear that  
3 interpretation; then if that's indeed how it's being  
4 interpreted, I think it's --

5 DR. FINDER: Well, yeah.

6 MS. BUTLER: -- it's right the way it's  
7 written.

8 DR. FINDER: All right. It's written that  
9 way.

10 CHAIRPERSON HENDRICKS: Dr. Williams.

11 DR. WILLIAMS: This is Mark Williams.

12 If that's truly the intent, then why is  
13 the word "facility" even brought into it. Wouldn't it  
14 be clearer if we just took it out?

15 DR. FINDER: No. Actually it probably  
16 wouldn't because the idea here is that there's a  
17 difference between the survey of a unit and the survey  
18 of a facility. There are different aspects to both.  
19 So if you just did unit surveys, you wouldn't look at  
20 the QC for the entire facility, and that's part of  
21 what is required for the annual survey.

22 So that's why it was specifically written

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1       referencing two facilities and six units, but they all  
2       can be done. It can all be accomplished in as small a  
3       facility as a single unit facility.

4               DR. WILLIAMS:    So would there be some  
5       merit in explicitly putting that in?

6               DR. FINDER:    It is. It says here, "No  
7       more than one survey of a specific facility within a  
8       ten month period or a specific unit within a period of  
9       60 days can be counted toward this requirement."  
10      That's in the regulation.

11              We thought it was clear. We also put it  
12      in our guidance, too. So it is possible for a  
13      physicist who cannot work outside his one facility to  
14      still meet the requirements. They don't have to go  
15      anyplace else.

16              DR. BARR:   Clearly, we all believe in the  
17      spirit of this. We can look at it and see if there's  
18      any way the wording can be any different, but the  
19      interpretation is as we've said.

20              Thank you.

21              The next is changes to the lead  
22      interpreting physician requirement, and IOM recommends

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1 adding language that the lead interpreting physician  
2 must provide regular feedback to technologists on the  
3 quality of images. It seems to me that was already  
4 their job, but I guess this makes it more explicit.

5 DR. FINDER: Actually there is a  
6 requirement that all interpreting physicians have to  
7 give feedback.

8 DR. FERGUSON: Does that have to be  
9 documented in any way? That's the problem you get  
10 into.

11 I mean, we interact every day and say this  
12 looks bad, this doesn't, but if you come in and want a  
13 piece of paper saying, "Where did you document that  
14 you did that?"

15 (Laughter.)

16 DR. FINDER: Dr. Ferguson, I know you must  
17 tell them this looks good and this looks better.

18 (Laughter.)

19 DR. FERGUSON: No, sometimes it's pretty  
20 rough.

21 DR. BARR: Yeah, I don't think there was  
22 any requirement that this has to be documented. I

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1 don't know if that's what IOM was trying to get at  
2 since already this is a requirement. Anyway, I think  
3 obviously the spirit is that people should be  
4 communicating with facilities to get better  
5 mammography.

6 The rationale here was the on-site  
7 surveys. ACR does suggest facilities could benefit  
8 from improved physician technologist communication.  
9 As my kids would say, "Duh."

10 And requiring regular feedback may improve  
11 quality.

12 Next is changes to weekly phantom image,  
13 quality control test. This would be a change in the  
14 optical density of the film at the center of an image  
15 of a standard FDA accepted phantom, and it would  
16 delete 1.2 and change it to 1.4 when exposed under  
17 typical clinical condition.

18 Yes.

19 DR. MARTIN: Melissa Martin.

20 My only comment would be why are you  
21 leaving it at 1.4. Shouldn't it be at least 1.5?

22 DR. BARR: Well, you'll have to ask IOM

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1 that. I think the point is well taken about --

2 DR. MARTIN: One, point, four is way too  
3 low.

4 DR. BARR: I'm not sure why they picked  
5 this number.

6 Anybody else have comment?

7 So we think it should be at least 1.4 is  
8 what I'm hearing.

9 Okay. Rationale seems pretty logical.

10 Screen film contact. They want us to take  
11 out the word "semi" and put in "annually," and the  
12 test shall also be carried out initially for all new  
13 cassettes as they are placed in service, and whenever  
14 reduced image sharpness is suspected.

15 The rationale is that this only needs to  
16 be performed annually or on new cassettes, and we  
17 already have guidance on this that says screen film  
18 content tests must be performed on new cassettes prior  
19 to clinical use. So I think we're okay here with what  
20 IOM intended.

21 Change to kVp accuracy and  
22 reproducibility. They would like us to add facilities

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1 with older three phase screen film systems. Take out  
2 the end reproducibility part. Take out the most  
3 commonly used clinically part, and say that is  
4 obtained when the accrediting body phantom is imaged  
5 with the mammography X-ray unit set to the most  
6 commonly used clinical AEC mode.

7 And take out the wording in the orange on  
8 the kVp and add newer units with medium and high  
9 frequency generators will not require this test.

10 They feel the phrase "most commonly  
11 clinically used kVp" is confusing. Data from DMIST  
12 shows test really fails during the annual survey.  
13 Equipment voltage regulation is tight. Unnecessary on  
14 an annual basis.

15 Anybody have any comments on this area?

16 DR. MARTIN: Yes. Melissa Martin.

17 I would agree that I have no problems with  
18 that recommendation. The biggest problem we would  
19 have is if you tried to enforce the kVp. That does  
20 fluctuate on some models, but obviously if you're  
21 going to eliminate it for high frequency generators,  
22 you just eliminated it on 95 percent of the units. So

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1 it's a moot point.

2 DR. BARR: Okay. System artifact test.  
3 They want us to take out tees and target filter  
4 combinations and add targets and filters used  
5 clinically. The rationale to assess image quality and  
6 artifacts, only one test of focal spot size, filter,  
7 and target is necessary.

8 We already have an approved alternative  
9 standard for this. So again, I'm not sure what the  
10 recommendation is made for, but I think we're okay  
11 with this, that we don't have to do all of the  
12 combinations.

13 This is changes to mammography medical  
14 outcomes audit. They want us to add facilities with  
15 the same interpreting physician should combine medical  
16 audit data. I think we kind of covered this earlier  
17 in the audit section, that we should allow that  
18 combining of data. to make things more meaningful.

19 And again, they recommended that people  
20 not be cited for not doing aggregate data.

21 Changes to mammography medical outcome  
22 audit. The general requirement section they want us

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1 to take out "individually and collectively for all  
2 interpreting physicians at the facility." I guess  
3 that's so we can combine data, and add this whole  
4 section here.

5 A screening exam or a positive exam is  
6 defined as incomplete or suspicious or highly  
7 suggestive, and diagnostic exams or a positive exam is  
8 defined as suspicious abnormality. Biopsy should be  
9 considered. And diagnostic exams where positive  
10 examination is defined.

11 Again, this is all rationale for combining  
12 data. Not being able to compare facility practice  
13 performance with literature.

14 The BI-RADS committee said the audit of  
15 screening examinations requires recommendation for  
16 recall, including Category 0 be considered positive,  
17 and it would make the regulations more consistent.

18 Any comments here, Charlie? Anything that  
19 can help a discussion here?

20 DR. FINDER: Well, we pretty much  
21 discussed this earlier, at least some of the aspects  
22 of it, and I just want to hear what people think.

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1 I mean, here they have defined screening  
2 exams and diagnostic exams, and we have the whole  
3 debate about can we do that, should we do that. If we  
4 can't define it, it becomes very difficult to write a  
5 regulation that talks about those as examinations.

6 The other thing I found interesting in the  
7 way they have it worded -- and I'm not sure if they  
8 meant this -- but the way it sounds here is that if  
9 you read a diagnostic examination as incomplete, it  
10 doesn't have to go into the audit.

11 Now, maybe I misread, and I doubt it's  
12 what they meant.

13 DR. BARR: Right.

14 DR. FINDER: But there's nothing that  
15 prevents anybody even right now from labeling a  
16 diagnostic examination a zero.

17 DR. BARR: Yeah, I had the same comment on  
18 my notes. What about a zero? Isn't that a positive?  
19 I thought they already defined that as a positive.

20 DR. FINDER: And, again, this issue about  
21 the screening exams, this would increase the work load  
22 for facilities because they'd have to track the

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1 incompletes, which could be a fair number, and that  
2 also brings back the issue of the incompletes due to  
3 comparison with old films versus the additional  
4 studies.

5 So anybody have anymore comments other  
6 than what they've already discussed earlier? Is this  
7 something that we should put into regulation at this  
8 point or should we think about it a little bit more?

9 CHAIRPERSON HENDRICKS: Comment from the  
10 audience? Yes, Dr. Monticciolo first.

11 DR. MONTICCIOLO: I have to put my glasses  
12 on. This is Debbie Monticciolo.

13 Well, I am reiterating what was said  
14 earlier. I do think it would be onerous to have to  
15 follow ever zero. I mean, we do ourselves make sure  
16 every patient we ask for additional imaging, we make  
17 an attempt to get them back and make sure they know  
18 they need it.

19 But to follow all of those to whatever you  
20 consider the outcome would be very onerous for any  
21 screening site to do, and specially if you include the  
22 zeros. So that was actually very well put, Dr.

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1 Finder, that I think this is a huge burden, another  
2 burden, that we're going to lay on screening sites,  
3 and I don't think it would be that productive.

4 DR. BARR: Okay. Thank you.

5 Next is Section 900.13, change to FDA  
6 action following revocation of accreditation. This is  
7 really just a wording change that needs to be done and  
8 we agree with.

9 Okay. Modifying inspections and  
10 strengthening enforcement. Under this section IOM  
11 said that FDA should eliminate several on-site  
12 inspection tests, such as dose and other radiation  
13 tests; should require the facilities to cease  
14 performing mammography after two consecutive  
15 unsuccessful attempts at reaccreditation even if the  
16 MQSA certificate is still valid; that we should  
17 require a facility that closes or has its  
18 certification revoked to notify patients and referring  
19 physicians; and regulations for film retention should  
20 apply to closed facilities.

21 So we'll take the first one. Several on-  
22 Site inspection tests are redundant and have few

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1 failures, and we talked about dose. We haven't had a  
2 dose violation since 1997. Dose testing is monitored  
3 by ACR and the medical physicist. Other tests are  
4 already done by the medical physicist include beam,  
5 quality X-ray, film alignment, et cetera.

6 Again, this just shows you what Mr. Divine  
7 showed you about the violations or lack thereof, and  
8 we did hear the comment before about possibly looking  
9 at the scattering of the dose data around what we  
10 currently consider upper limit of normal.

11 The one thing that didn't come up earlier,  
12 I think, related to dose is that you think, well, big  
13 deal, the inspector going and modifying it, and if you  
14 don't measure dose, is it really going to cut down the  
15 inspection time?

16 But we also have to buy, calibrate,  
17 maintain equipment for the inspectors to perform those  
18 measurements. So that's another just piece of the pie  
19 here.

20 And the objections I have heard are, as  
21 I've said before, about the disparity between the  
22 physicist and inspector's measurements, but we're

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1 looking at that and I don't expect that to be the  
2 case, but we'll see.

3 FDA should have the authority to require  
4 facilities to cease performing mammography after two  
5 consecutive unsuccessful attempts at reaccreditation.

6 Our legal eagles here tell us that FDA cannot require  
7 facilities to cease mammography if their MQSA  
8 certificate has not expired.

9 Charlie, do you want to lead a little bit  
10 of this discussion on this?

11 DR. FINDER: Right. Again, some of the  
12 background on this. Usually this situation occurs  
13 when a facility is coming close to the end of its  
14 certificate. It's in the reaccreditation process, and  
15 it doesn't pass the accreditation process.

16 Our lawyers have told us that that process  
17 deals with the next three year accreditation and  
18 certification, not with the current one. What they  
19 have told us is that we cannot automatically tell the  
20 facility that they must stop doing mammography based  
21 on their failure to get a new accreditation.

22 We can tell them that they should stop.

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1 They must stop when their certificate expires, and  
2 they have told us that if we feel that they represent  
3 a risk to human health, we can take action either to  
4 suspend their certificate if necessary.

5 So what they've told us basically is you  
6 cannot take actions against the facility more severe  
7 than you would for a suspension, and in most cases  
8 when we suspend a facility, we have to give them  
9 notice. We have to allow them for a hearing, and they  
10 have told us that you cannot just because they don't  
11 pass the accreditation process for their next three  
12 years automatically tell them to stop where in a  
13 situation where the accreditation body tells you that  
14 they represent a risk to human health, a much worse  
15 situation, you have to give them a legal process to go  
16 through.

17 We certainly have that ability in these  
18 situations to take that more extensive action, but the  
19 reality is that by the time we could actually suspend  
20 a certificate under those types of circumstances,  
21 their certificate would have expired anyhow. Usually  
22 it's a matter of a few weeks at most, in most cases.

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1           So this is true, and the recommendations  
2       from IOM that we put ourselves or grant us the  
3       authority in regulation certainly would address this  
4       situation. I'm not exactly sure that we could still  
5       do it because we cannot put in a regulation that  
6       negates the entire appeal process, the entire process  
7       for a hearing. So I'm not sure we could even  
8       implement it even if we tried to write a regulation.

9           But that said, I think the concept of is  
10      this enough of a problem that we should try to develop  
11      some method for dealing with it or is it so self-  
12      limited that we should just pretty much leave it the  
13      way it is and let the certificate expire,  
14      understanding that these are facilities that we have  
15      no indication that they represent the risk to human  
16      health. It's just that they didn't pass their  
17      accreditation process.

18                So comments, thoughts?

19                (No response.)

20           DR. FINDER: Okay. Moving on.

21           DR. BARR: And the other recommendation is  
22      close facilities or facilities with revoked

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1 certificates must notify patients and referring  
2 physicians. Film retention should apply to facilities  
3 that close.

4 IOM says the complaints from patients who  
5 were not informed when their facility closed and were  
6 unable or unsure how to access mammography records.  
7 If facilities are incapable of notification FDA should  
8 notify patients and physicians.

9 Again, I totally sympathize with patients  
10 in this situation. We take an active role with the  
11 accreditation bodies in helping patients in this  
12 situation, but again, I don't know what authority we  
13 can have over a closed facility who's out of business.

14 I don't know what exactly we do to make them do these  
15 things.

16 Charlie.

17 DR. FINDER: Yeah. Dr. Finder speaking.

18 This is an issue that come sup not that  
19 infrequently, and it can be a big impact on patients,  
20 but then the question is what can we do under certain  
21 circumstances.

22 Let me backstep. We have guidance out

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1 there to inform all facilities that when they are  
2 planning to close what steps they should take, and one  
3 of the major steps that they should take is to make  
4 arrangements for the retention of records and  
5 mechanisms so that patients can access those records,  
6 and most facilities do that.

7 We also ask that the facility notify their  
8 accreditation body of those steps and also the FDA so  
9 in case anybody asks either the accreditation body or  
10 contacts us through our hot line, we can tell the  
11 patient what steps they need to go through to find  
12 their films.

13 And those systems do work when we have  
14 cooperative facilities. However, when we're dealing  
15 with a facility that has gone bankrupt, it is very  
16 difficult to deal with those situations. Sometimes  
17 there's nobody we can talk to, nobody to reach.  
18 Sometimes the records are now part of the bankruptcy  
19 hearings and are outside of our jurisdiction. If we  
20 can find a sympathetic judge and explain the  
21 situation, they have in the past made some type of  
22 arrangements.

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1 But, again, it's outside of MQSA. It's  
2 outside of the facility's hands because they no longer  
3 control the films. They're now in the hands of the  
4 bankruptcy court.

5 In other situations, we have the case  
6 where the facility has disappeared. We have no idea  
7 of what's going on. The films are gone. The facility  
8 itself no longer exists, and while we would like to  
9 notify patients of this, we have no information to  
10 give them in that situation other than to tell them  
11 that their films are gone.

12 So this is a very tough problem when we're  
13 dealing with a truly closed facility, and we would  
14 certainly like to hear from the committee about any  
15 suggestions they may have about what actions we can  
16 take under these conditions, and if there's any way to  
17 help the situation.

18 But there's no question that when a  
19 facility goes out of business and doesn't take care of  
20 the records and goes into bankruptcy or just closes  
21 its doors, shuts the doors, locks them, and  
22 disappears, that there are problems for patients. The

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1 question is what we can do at that point to help them.

2 DR. MARTIN: Melissa Martin.

3 Just a question. Is there any way that  
4 you track the physicians, the radiologists tied to  
5 those facilities that have closed? So that, in other  
6 words, he can't close the facility on one corner and  
7 go down the street and open the facility on the next  
8 corner and start out as a new entity?

9 DR. FINDER: Right. Usually in the  
10 situation where you've got a physician or facility  
11 that has multiple locations, what they'll do in those  
12 cases is they'll just transfer the films to the other  
13 locations. Those are usually not the problems that we  
14 have.

15 It's individual facilities that go out of  
16 business or we have had facilities that have multiple  
17 sites where the entire organization went out of the  
18 business all at once and affected 100,000 patients.

19 The interesting thing is that most of  
20 those are not mammo patients. These are usually large  
21 radiology practices or medical specialty practices.  
22 It's not just the mammography records.

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1           We have in those cases worked with the  
2           state because they have their own state laws that they  
3           can enforce sometimes, and they find it very  
4           difficult, too because if they're gone, they're gone.

5           It's very hard to track some of these people.

6           DR. BARR:    I know some states have a  
7           requirement that facilities put a bond in case this  
8           happens and they can use the money, and I'm not sure  
9           at the federal level if we can do that.

10           I'm wondering if this is something just to  
11           make it work really needs to be a state issue.

12           DR. FINDER:   Again, if anybody has any  
13           other suggestions, we'd be more than happy to hear  
14           about it because, as I say, it's infrequent. When it  
15           does occur, it's not pleasant for anybody involved.

16           DR. FERGUSON:   You said there was guidance  
17           out there. What is the recommendation for a facility  
18           that is closing?

19           DR. FINDER:    The recommendations that we  
20           have basically are that the facility inform the state,  
21           inform our facility hot line that they're closing;  
22           that they make arrangements for those films to be

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1 available. And what we suggest is the first  
2 suggestion is that they be transferred to another  
3 actively and active mammography or radiology facility  
4 so that patients can go there and get those films.

5 If that's not available, we suggest that  
6 they go and put them into some type of storage  
7 facility where the patients can have access, and as  
8 part of that, it's very important that they have some  
9 mechanism to inform their patients of what's going on  
10 so that it's not just that they do this and nobody  
11 knows about it so that patients can't get it.

12 So we suggest that they have either  
13 something on their phone line, an answering machine  
14 that gives this message out or that they send out some  
15 type of notification or at a minimum that they notify  
16 their accreditation body and us so in case patients  
17 call us we will know how to forward that information  
18 along.

19 So those are the basic recommendations to  
20 the facilities that close. The problem is that if  
21 they don't, there's not much we can do after the fact.

22 MS. RINELLA: Question. Diane Rinella.

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1           What percentage of time do you find that  
2           this happens where they actually truly notify that  
3           they are going to close versus just closing the doors  
4           and going?

5           DR. FINDER:    I can't give you actual  
6           numbers.    I do know that we get in the mail from  
7           facilities this type of information.  I can't give you  
8           the exact numbers.  I will tell you that the number of  
9           facilities that close and leave problems like this is  
10          a relatively small handful, but when it does occur  
11          even at a small size facility, you're probably talking  
12          about thousands of patients being affected.  So in  
13          that sense it is a big issue, and we have had some  
14          major facilities that have closed where I think at  
15          most there was a group out in California with millions  
16          of records, but most of them were not mammography.  
17          They were everybody's exams, CTs, medical records of  
18          all kinds.

19                 So it's more than jut mammography, and we  
20          found that that's usually the case.  It's not just a  
21          single mammography facility that closes down.  It's  
22          either a radiology practice so that you've got other

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1 patients that aren't even affected or don't have any  
2 recourse to us at all for those exams.

3 MS. PURA: Dr. Barr, that suggestion you  
4 had about the bond issue, have states done that  
5 before?

6 DR. BARR: I believe that there are states  
7 that have done that. I'm trying to recall. Michigan  
8 comes to mind, but I don't want to speak out of turn  
9 that they have done it.

10 We have worked with states and some state  
11 have done that. But as I said, at the federal level  
12 I'm not sure that that's an option.

13 Charlie, do you remember?

14 DR. FINDER: I'm not sure about which, if  
15 any, states have instituted a bond. I know that it  
16 has been talked about even at this committee, and one  
17 of the issues that was brought up is if you're going  
18 to have all facilities post the bond when they start,  
19 that's another disincentive. It's another issue  
20 about, another burden on the facility, considering the  
21 fact that the vast majority of facilities that do  
22 close do take care of this issue appropriately without

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1 anything.

2 DR. BARR: And I think that's something we  
3 have to keep in mind. Since we deal in the public  
4 health, we also have to look at is the energy spent on  
5 this, although it is very adverse to the patients  
6 affected, you know, how do we deal with it in a public  
7 health sense and is it a few bad actors and would the  
8 state be better able to deal with this and also it's a  
9 difficult problem.

10 MS. PURA: Oh, I know because we go  
11 through it quite a bit in Los Angeles and of late it  
12 has been a major problem.

13 DR. FINDER: You know, there are two  
14 aspects. One is do you put some type of requirement  
15 on all facilities with the idea that in the event that  
16 if this happens you can then use that as a fund to  
17 accomplish something, although even there you're never  
18 100 percent sure because when they close, we have had  
19 the following situations happen where they have their  
20 records in some type of filing system that is well  
21 known to them. Of course, they're no longer around,  
22 and now you can't even categorize these films anymore

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1 and hand them out even if you could.

2 The other is to try and take action  
3 against the people that have gone and closed shop, and  
4 as I say, when possible we have tried to deal with the  
5 bankruptcy court, and in the cases where we have been  
6 able to deal with them, they have been receptive and  
7 taken some actions.

8 The states also have power in this area,  
9 and recently we did have a state go after a facility  
10 and force them to reopen and distribute the films. So  
11 it can be done. It's a very tough problem, and I  
12 think, as Dr. Barr mentioned, probably our best bet  
13 right now is to try and work in conjunction with the  
14 state to deal with these problems, but it is a tough  
15 one.

16 DR. BARR: We were just hoping someone  
17 would have a brilliant idea we hadn't thought of yet.

18 And despite Dr. Finder's being sure I  
19 wouldn't get done, I finished the section before the  
20 break. So, Dr. Hendricks, is it time?

21 CHAIRPERSON HENDRICKS: I think it is a  
22 good time. We'll take a 15 minute break and reconvene

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1 at quarter till.

2 Thank you.

3 (Whereupon, the foregoing matter went off  
4 the record at 3:28 p.m. and went back on  
5 the record at 3:50 p.m.)

6 CHAIRPERSON HENDRICKS: We'll reconvene  
7 for the final session this afternoon, which is Dr.  
8 Barr on a marathon leading us through the two final  
9 topics on work force and beyond mammography.

10 DR. BARR: Thank you.

11 I will try to get through this last  
12 section. I need to leave here about 4:30-ish. So if  
13 we're not finished, then either Mr. Divine will come  
14 up here and continue or Dr. Finder can switch seats,  
15 but we'll see what we can do.

16 The next major category for  
17 recommendations from the IOM report falls under  
18 adequate work force for screening and diagnosis.  
19 Under here are Recommendations 7, 8, and 9.

20 Recommendation 7 is to collect and analyze  
21 data on the mammography work force and service  
22 capacity; eight, device strategies to recruit and

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1 retain highly skilled breast imaging professionals;  
2 and, nine, make more effective use of breast imaging  
3 specialists.

4 And we'll start with data on the  
5 mammography work force and service capacity. IOM  
6 recommends that volume information be collected during  
7 annual inspection. HRSA reports on mammography volume  
8 by region, state, and type of service. And I think  
9 they mean that their reports, which we could  
10 contribute to, should include number of facilities,  
11 number of mammography units per 10,000 women, number  
12 of FTE physicians reading mammograms per 10,000 women  
13 stratified by type of service where appropriate.

14 That we provide unique identifiers for all  
15 interpreting physicians, technologists, and medical  
16 physicists to get volume services by individual.

17 That we collect data by facility and  
18 waiting times for screening and diagnostic  
19 appointments.

20 That Congress, I assume, not FDA, provide  
21 funding to HRSA to model future work force supply and  
22 demand on a regular basis.

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1                   And       the       rationale       for       these  
2       recommendations which then we can go back to is to be  
3       able to assess accurate real time data to monitor and  
4       track capacity on a national and regional basis; to be  
5       able to assess the status of the work force; assess  
6       appointment waiting times, and assess impact of new  
7       regulations and voluntary programs.

8                   So   we'll   go   back   to   the   volume  
9       information.   Currently the information we have on  
10      volume is provided by the facilities to their  
11      accrediting bodies on an every three year basis when  
12      they apply for reaccreditation, and I don't know how  
13      it is in other facilities, but I can certainly tell  
14      you when I was practicing, I didn't write down on the  
15      form an exact number. I would certainly give my best  
16      guesstimate of the number of mammograms we performed.

17                  So it has always been debatable how  
18      accurate the information we have when we are asked to  
19      give volume statistics.

20                  I don't know if that would change if the  
21      inspector was asking the question versus the  
22      accrediting body, but we'd like to hear your thoughts

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1 on how important this information is and the best way  
2 to collect it.

3 Okay. No comments? Okay. Yes?

4 MS. PURA: Would this invitation by any  
5 chance be helpful to such as the CDC, et cetera, to  
6 expend monies for reimbursement if we knew that there  
7 were so many mammogram units per facility, et cetera,  
8 across the country and the ratio of staff to -- do you  
9 think that would be helpful, Dr. Barr, or do you think  
10 that would be helpful?

11 I'm always pushing for reimbursement.

12 DR. BARR: It's really hard to gauge. I  
13 myself have been to CMS talking about the costs of at  
14 least meeting MQSA regulations if nothing else, and it  
15 doesn't seem to affect their reimbursement.

16 You know, certainly one would think  
17 intuitively that more information would inform them to  
18 make decisions, but I can't say for sure.

19 DR. FERGUSON: Does ACR not already kind  
20 of have this information about facilities and  
21 locations? Would it be easy for them to, I guess,  
22 interpolate the number of patients in a geographic

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1 area?

2 DR. BARR: I think the volume information  
3 they have is through facilities, but we'll let Penny.

4 I mean through facilities on the accreditation form,  
5 but we'll let Penny comment.

6 MS. BUTLER: Penny Butler from American  
7 College of Radiology.

8 We do collect information, but they're  
9 annual patients examined and breakdowns between  
10 diagnostics and screens, but we don't have really  
11 good, reliable FTE information and some of the other  
12 information that is asked for here.

13 DR. BARR: And certainly asking a volume  
14 question during inspection is not a big deal. I mean  
15 the inspector could spend two seconds asking that. I  
16 think probably the bigger issue here I would say is  
17 this unique identifier information. I mean I think  
18 that's really where it's at if we want to get some of  
19 the data that's being recommended here.

20 Some of the data that's being recommended  
21 here, I can say that from our standpoint there is the  
22 problem that if we go to a unique identifier system,

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1 then our database has to meet the Privacy Act  
2 requirements which it does not have to because we  
3 collect data based on facilities. We search by  
4 facilities.

5 And so there would be work and possibly  
6 financial burden associated with that. The other  
7 thing is, you know, the whole discoverability stuff.  
8 Could this be tied into how much mammography you do  
9 and what your results are, et cetera?

10 So just be anxious to hear your comments  
11 on B, the unique identifiers, so that we can get  
12 volume by individual and perhaps other data.

13 MR. PASSETTI: Bill Passetti.

14 I just think it would be nice if some of  
15 this standard information was collected on a  
16 nationwide basis. In Florida we're having a situation  
17 where our legislature is looking at mammography  
18 accessibility and different things, and they're  
19 already talking about requiring us to collect volume  
20 data or how many exams are performed per machine and  
21 all this type of data that would be nice to have, but  
22 it would be nicer to have on a nationwide basis and

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1 not just our state.

2 DR. BARR: Right. Understandable.

3 DR. MARTIN: I would just like to --  
4 Melissa Martin -- to take an idea. If you're  
5 developing a database to collect this data, put the  
6 thought in that if you're going to assign all of the  
7 providers' unique identifier numbers, it would also be  
8 the option of providing at least on an optional basis  
9 the qualifications so that we don't have to kill the  
10 trees and provide that.

11 If we're going to be qualified, then give  
12 us one qualification, that we've got all of our CEUs  
13 and continuing experience every two years and update  
14 it so that we're not having to copy all of the paper  
15 work for every facility. Either our physician is  
16 going to be qualified or our physicist is going to be  
17 qualified or our technologist is going to be  
18 qualified.

19 We're qualified once. It's not going to  
20 matter where we're qualified in 15 different  
21 facilities.

22 DR. BARR: Thank you.

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1 DR. FERGUSON: I agree. That was brought  
2 up at our last meeting as well. There ought to be a  
3 way where we don't have to produce every piece of  
4 paper at every facility and have a book that thick.  
5 It would be helpful.

6 CHAIRPERSON HENDRICKS: Comment from the  
7 audience?

8 MS. WILCOX: Pam Wilcox, ACR.

9 I think there's more complexity to this  
10 than even what we're talking about here because even  
11 if you look at interpreting physicians and you look at  
12 their screening and diagnostic volumes, that doesn't  
13 address the capacity of the system because you still  
14 have those who are doing biopsies and those who are  
15 not doing biopsies. You have patient mix.

16 There's a whole lot of variables that  
17 would make this -- although really it would be great  
18 to know and be able to predict where we need to be and  
19 what we need to recruit, I'm not so sure it's as  
20 simple as it seems even here.

21 DR. BARR: Thank you.

22 MR. MOURAD: Wally Mourad, FDA.

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1           During inspections we do download during  
2           the previous inspection where a certain person has met  
3           the initial qualifications or not, and so those are  
4           there, and we don't recheck them every time except for  
5           expiring items, like license or approval letter or  
6           something like that.

7           So the only thing we check on continuously  
8           every time that's different is the continuing  
9           requirements, education and experience. We don't have  
10          a database for that. That's the other thing.

11          DR. FINDER: Right. Dr. Finder.

12          I just wanted to also mention that for  
13          medical physicists because we consider them a special  
14          group of -- no, we don't.

15          (Laughter.)

16          DR. FINDER: Because they do go to so many  
17          different facilities usually, much more than the other  
18          personnel categories, and because their requirements  
19          tended to be more complex in terms of the  
20          documentation that they need, we actually will supply  
21          medical physicists a letter stating that they meet all  
22          of the initial qualifications, and all they have to do

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1 is show that letter to any of their facilities. Give  
2 them a copy of that letter, and that is acceptable for  
3 all their initial qualifications.

4 So we have attempted to address some of  
5 those issues through that mechanism. We have looked  
6 at the issue about having a database with individuals.

7 It does raise a number of issues. One is how do you  
8 identify them.

9 You'd have to assign numbers. It would  
10 become a privacy system, but even more so than that  
11 there is the issue about how do you get the data in;  
12 who do you give that data to; and even if you were  
13 allowed and we could figure out a mechanism to give it  
14 to our inspectors, what would be the mechanism to give  
15 it out to the facilities because it wouldn't do much  
16 good if our inspectors knew that you were qualified,  
17 but when you showed up at the facility you had no  
18 documentation and they would have no way of verifying  
19 that.

20 That's one of the situations we've got  
21 right now with the hurricane where people have no  
22 documentation. They're showing up at new facilities,

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1 and we in this case are providing them with some  
2 documentation because of the problems that they have  
3 encountered, but we don't give it to the facility.

4 And then the other issue is how would we  
5 deal with the situation where somebody doesn't give us  
6 the information at some point in time. What would we  
7 do then? Would we search them out and cite them in  
8 all of the facilities that they're out because they  
9 haven't provided us with data?

10 So the reason that it keeps coming up is  
11 because it would certainly be more convenient if we  
12 had this type of system, but there are always these  
13 problems that come up that seem to make it difficult  
14 to actually implement. And I guess at one point we  
15 can take a look at this, have a meeting, and discuss  
16 this in detail to see if we can actually implement  
17 something like this, but it's not very simple to do.

18 MR. FLATER: Flater with Iowa.

19 Just a couple of points. Number one --

20 CHAIRPERSON HENDRICKS: I want to remind  
21 all of the speakers at the microphone to please  
22 identify yourself for the purposes of the transcript

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1 being prepared of this meeting.

2 MR. FLATER: Flater, with Iowa.

3 Just a little bit of a point. Us small  
4 people that do everything, including accreditation and  
5 certification do have all of the information you're  
6 talking about on every place in the State of Iowa, and  
7 some physicists outside the state that come in and do  
8 some work within our state.

9 Another thing you might want to look at is  
10 the new thing being set up by the Nuclear Regulatory  
11 Commission where they're tracking all kinds of sources  
12 and everything. There are systems available that will  
13 track everything everybody does.

14 DR. BARR: Thank you.

15 Recommendation 8 is for strategies to  
16 recruit and retain highly skilled professionals.  
17 First is to encourage federal and state agencies and  
18 health care payers to develop incentives to recruit  
19 and retain skilled breast imagers.

20 Loan repayment awards through the National  
21 Health Service Corps, and for J-1 visa waivers for  
22 physicians working in underserved areas.

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1                   And, again, HRSA should identify and  
2 designate shortage areas for breast imaging.

3                   IOM says that existing supplied physicians  
4 who read mammograms are high level performance, is a  
5 valuable resource, is unproductive to invest in  
6 efforts to increase the number of entrants without  
7 addressing factors that lead to early departures.

8                   Retaining highly skilled practitioners  
9 should be cost effective way to maintain high quality  
10 breast imaging services, and the NHSC program that J-1  
11 waivers have been used to bolster work force in other  
12 shortage areas.

13                   I think we've heard some of this  
14 throughout the discussion today. I think when Dr. Lee  
15 from NCR spoke, she addressed some of these issues, as  
16 did Dr. Bassett.

17                   Are there any new thoughts about what  
18 incentives would work to recruit and retain qualified  
19 personnel? Anything that we may be able to do to stop  
20 this steady bleed of people leaving the field or not  
21 going into the field?

22                   Okay. No new ideas. Again, a tough

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1 issue, but certainly what we've heard is that more  
2 burden would not help the situation.

3 IOM says we need to encourage federal and  
4 state agencies and health care payers to develop  
5 incentives. I think I already did that. Sorry.

6 IOM says we should support the radiologist  
7 assistant training programs and new roles for  
8 radiology assistants in breast imaging; that this  
9 career option for skilled technologists is an  
10 incentive for new entrants and could improve quality,  
11 productivity, and efficiency.

12 And I know we heard at least one, if not  
13 more, comments in the public speakers on this. I'd be  
14 interested to know if the committee has any thoughts  
15 on the radiology assistant area, particularly related  
16 to mammography.

17 Anybody here have experience? Anybody  
18 using this type of --

19 MS. RINELLA: Diane Rinella.

20 I don't know if any of the radiology  
21 assistant programs to date have a specialty for breast  
22 imaging, and something tells me they don't, and for

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1 right now, the radiology assistants are going through  
2 a very comprehensive program for two years for  
3 fluoroscopy. You know, so barium enemas and whatnot,  
4 and somebody that wants to focus on breast imaging, I  
5 don't think that that's something that they would need  
6 to or even want to go through.

7 So I would think that there would need to  
8 be something if the RAs were going to be used in the  
9 future. A training program specific, an RA format for  
10 breast imaging technologists.

11 DR. BARR: As a technologist and someone  
12 experienced in this field and talks to a lot of  
13 technologists, do you think that something like this  
14 program to go into would make the field more enticing?

15 MS. RINELLA: It really is very new still.  
16 A lot of techs out there still don't know what an RA  
17 is, but I have been to I would say a handful of  
18 facilities, and the text word going to -- that was one  
19 of their goals, go through the RA program -- but it  
20 was to become a full blown radiologist assistant. It  
21 really wasn't something that was dedicated to  
22 mammography.

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1                   So I can't speak on just specifically  
2 breast imaging.

3                   MS. MOUNT: Carol Mount.

4                   I don't specifically at our facility.  
5 There are about three that are just finishing up their  
6 bachelor program, and breast imaging is what they  
7 would want to do. They've had a lot of questions for  
8 me. Of course, I do not have the answers as to what  
9 would they be able to do once they were in that field.

10                  And I agree with Diane. There would need  
11 to be a specific modality training course in order for  
12 it to be effective.

13                  DR. BARR: Thank you.

14                  CHAIRPERSON HENDRICKS: Dr. Monticciolo.

15                  DR. MONTICCILOLO: Debbie Monticciolo.

16                  I guess I have a little bit of a unique  
17 perspective because I have worked with a radiologist  
18 assistant in a private practice in one of my past  
19 jobs, but I would say that I think unless the  
20 technologists are willing to accept the medical legal  
21 burden, that many radiologists would be, I think,  
22 hesitant to pay another individual to help them, but

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1 not take the legal burden because that's a huge issue  
2 for radiologists.

3 I don't know if I should say this or not,  
4 but I guess I'll just go forward. I worked with a  
5 radiologist assistant, and he was very good. I  
6 thought he was excellent and was an asset to our  
7 practice, but I believe that he was over-utilized by  
8 some of the radiologists, and that the radiologists  
9 didn't oversee his work as closely as you would  
10 expect.

11 And so that also is another side of this  
12 issue that would probably need to be looked at.

13 DR. BARR: Thank you.

14 Can ACR help us out on this?

15 MS. WILCOX: Kim Wilcox, ACR.

16 There has been an agreement to the  
17 responsibilities of a radiologist assistant, and that  
18 has been agreed to by the American Registry of  
19 Radiologic Technologists, which will be the certifying  
20 agency, the American Society of Radiologic  
21 Technologists and the ACR, and there will be no  
22 interpreting on the part of the radiologist assistant.

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1           So while they might be able to help in the  
2       biopsy area or some of these other areas, I'm not sure  
3       what they would do to help the radiologist shortage  
4       that we have in breast imaging right now.

5           And as was said this morning and as Debbie  
6       reiterated, the medical liability issue is huge.

7           DR. BARR: Thank you. That really helps a  
8       lot.

9           DR. FLATER: Flater with Iowa.

10          Number one, if we did anything with the  
11       radiologist assistant, we would have to completely  
12       change our rules because interpreting physicians in  
13       Iowa must be radiologists. There's no choice.

14          The other side of it that's coming up that  
15       we're going to talk to later is in the stereotactic.  
16       Dr. Finder has just spent some time with us in Iowa  
17       because we have an RA in training right now that is  
18       trying to get us to agree to allow him to go through  
19       the training program to do stereotactic. There are  
20       radiologists that are willing to do training.

21          So that's an issue we're trying to deal  
22       with right now. Today we wouldn't allow it.

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1 DR. BARR: Thank you.

2 MS. PURA: Dr. Barr.

3 DR. BARR: Yes.

4 MS. PURA: Linda Pura.

5 DR. BARR: Oh, I'm sorry, Linda.

6 MS. PURA: You know, these are the growing  
7 pains that physician assistants and nurse  
8 practitioners had in the past, and we all know that,  
9 and certainly this might be something to look at to  
10 give futuristic kind of career growth for the rad  
11 techs, and I don't know if they're not allowed to  
12 interpret at this point now, would they be able to do  
13 secondary reading for the double reading? Would that  
14 be a possibility?

15 DR. BARR: I think those are all good  
16 points, and it sounds like in this area we probably  
17 don't have enough information yet of how this is going  
18 to and how these people can possibly help us in the  
19 mammography area.

20 So I think as this goes on we'll certainly  
21 have to keep an eye on this program and see where it  
22 can possibly be of use to radiologists and as an

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1 incentive.

2 Good points. I think we have models  
3 probably of other professions having gone through  
4 this. It's a good point.

5 IOM says that support should be given to  
6 demonstration projects to evaluate potential for  
7 double reading by non-physician clinicians, and again,  
8 this is based on the rationale that double reading has  
9 the potential to improve interpretation and perhaps  
10 that's an area where the RA would fit in.

11 And to evaluate the roles of ancillary  
12 personnel and mammography, productivity will be  
13 maximized according to IOM if radiologic technologists  
14 focus on performing mammograms and interpreting  
15 physicians' focus on interpretation, ancillary  
16 personnel, technical and nontechnical  
17 responsibilities, including quality control and  
18 administration.

19 Now, when I first read this, I was like,  
20 "Well, gee whiz. You know, we have this whole QC tech  
21 thing where you have to be in this area," but I'm not  
22 sure that's exactly what they mean.

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1 Charlie, I know when you read this, you  
2 had a little different thought about what IOM might be  
3 saying here.

4 DR. FINDER: Well, I think what they're  
5 trying to get at is the idea of basically having the  
6 radiologic technologists spend all of their time doing  
7 patients, interpreting physicians just doing the  
8 interpretations and leave any of the other paper work,  
9 quality control areas to nontechnologists,  
10 nonprofessionals or nonpersonnel, as we define  
11 personnel.

12 DR. BARR: With oversight.

13 DR. FINDER: Including quality control  
14 because we do allow personnel or people other than RTs  
15 to do the QC procedures. As long as they're under the  
16 supervision of a quality control technologist, other  
17 people who have received adequate training can perform  
18 these various tests.

19 So I think what they're trying to get at  
20 is they realize that there are shortages for the techs  
21 and for the physicians, and to focus them on just  
22 doing the aspects of mammography that only they can

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1 do.

2 DR. BARR: And as you say, MQSA does allow  
3 for this oversight type of responsibility at the  
4 current time.

5 Next is this new topic is improving breast  
6 imaging quality beyond mammography. Any comments on  
7 any of the personnel incentives before we move on to  
8 these final comments?

9 (No response.)

10 DR. BARR: Okay. Recommendation 10 in the  
11 report is accreditation for non-mammography breast  
12 imaging modalities, such as ultrasound and MRI. The  
13 rationale is accreditation already exists for breast  
14 ultrasound and general MRI and a breast specific MRI  
15 accreditation program is under discussion.

16 Accreditation for breast imaging methods  
17 would lead to standardization and improved quality of  
18 breast cancer detection and diagnosis.

19 I think we heard earlier in some of the  
20 talks that the MRI accreditation or anything in  
21 federal regulation of breast MRI probably isn't in the  
22 immediate future, but that perhaps breast ultrasound

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1 is an area for exploration.

2 Any comments from the committee?

3 MS. RINELLA: Diane Rinella.

4 I am also RDMS in breast ultrasound and in  
5 my travels I do question the facilities and who is  
6 doing their breast ultrasound examinations, and I  
7 asked what type of equipment, what types of  
8 transducers and things that they're using, and it's  
9 unfortunate that they aren't all up to the same  
10 standard of care.

11 And so I would support standardization of  
12 and accreditation for breast ultrasound just because  
13 of what I'm seeing out there in the field.

14 DR. BARR: Thank you.

15 Anybody else?

16 (No response.)

17 DR. BARR: I guess that's it. Yeah, I  
18 think that's it, except for the stereotactic section,  
19 which we'll discuss tomorrow. The recommendations, I  
20 think we've pretty much gone through the  
21 recommendations.

22 One thing I wanted to point out is that

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1 the final printed version of the IOM report actually  
2 just came out. So although there are no major  
3 changes, there could be like when Penny Butler was up  
4 here talking about the BI-RADS thing. There could be  
5 wording changes that in the draft were one way so that  
6 we have them on our slides, but in the final report  
7 have come out slightly differently.

8 Charlie.

9 DR. FINDER: No, I just want to say the  
10 version that you have is the current one now.

11 CHAIRPERSON HENDRICKS: That's going to  
12 bring to a conclusion a long day.

13 I do have one housekeeping detail. The  
14 woman who is transcribing the meeting has had  
15 difficulty throughout the day today understanding the  
16 names of the speakers who have come from the audience.

17 So she requested of those speakers to get full  
18 recognition of your comments just stop by her desk to  
19 clarify the spelling of your first and last name.

20 Thank you.

21 Otherwise, this concludes the meeting, and  
22 we will reconvene again tomorrow morning at 9:00 a.m.

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1 (Whereupon, at 4:18 p.m., the meeting was  
2 adjourned, to reconvene at 9:00 a.m., Tuesday,  
3 September 27, 2005.)  
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CERTIFICATE

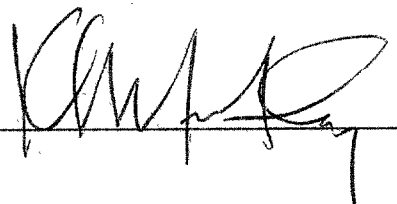
This is to certify that the foregoing transcript in the  
matter of:               National Mammography Quality Assurance  
                              Advisory Committee

Before:                 DHHS/PHS/FDA/CDRH

Date:                  September 26, 2005

Place:                 Gaithersburg, MD

represents the full and complete proceedings of the  
aforementioned matter, as reported and reduced to  
typewriting.



A handwritten signature in black ink, appearing to be 'K. M. Ray', is written over a horizontal line.